## IN THE UNITED STATES PATENT & TRADEMARK OFFICE

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In re application of: Margrave, et al.

Serial No.: 09/809,885

Filed:

March 16, 2001

Title:

Chemical Derivatization of Single Wall Carbon Nanotubes to Facilitate Solvation Thereof; and Use of Derivatized Nanotubes To Form Catalyst-Containing Seed Materials For Use In Making Carbon Fibers

Atty. Docket No.: 11321-P013D2 (formerly 11321-P026US)

ARCENET AND STORY Examiner: S. L. Hendrickson

Group Art Unit: 1754

To: Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## **CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below:

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.56 and § 1.97

The references listed on the attached Form PTO/SB/08A-B, copies of which are enclosed, may be material to the examination of the above-identified patent application. Applicant submits these references in compliance with its duty of disclosure pursuant to 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these references of official record in this Application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

06/03/2003 MGEBREM1 00000082 09809885

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180.00 OP

Applicant has enclosed a check for \$180.00 in payment of the required fee under 1.17(p). However, if it is determined that this amount is incorrect, the Commissioner is hereby authorized to credit or debit the Winstead Sechrest & Minick Deposit Account No. 23-2426 (referencing matter number 11321-P013D2). THE TOTAL STATE OF THE STATE OF

Date: May **29**, 2003

Respectfully submitted,

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PTO/SB/08B (10-01)

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## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

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Application Number	09/809,885	Ju	
Filing Date	March 16, 2001		"VA
First Named Inventor	Margrave, et al.	<b>7</b> 2	5 . " < /
Group Art Unit	1754		<000 \
Examiner Name	S.L. Hendrickson	71	70
Attorney Docket Number	11321-P013D2	<b>1</b> (	) <sub>r</sub> .
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OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
		USSN 60/102,909, Entitled "Method of Dissolving Single-Walled Carbon Nanotubes in Organic Solutions", Filed October 2, 1998			
		USSN 60/102,787, Entitled "Solubility Properties of Single-Walled Carbon Nanotubes", Filed October 2, 1998			
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Examiner	Date	·
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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